

A

04/14/98
JCS4455
PTO

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP
Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834

Atty. Docket No. 14774-82-1

"Express Mail" Label No. EL008719186US

Date of Deposit April 14, 1998

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the

- ☒ patent application of
☐ continuation patent application of
☐ divisional patent application of
☐ continuation-in-part patent application of

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D. C. 20231

By Samuel Butts

Inventor(s)/Applicant Identifier: Jim Leftwich

For: MULTIPLE DATABASE, USER-CHOICE-COMPILED PROGRAM AND EVENT GUIDE

☒ This application claims priority from each of the following Application Nos./filing dates:
60/043,248 / April 16, 1997; _____/_____; _____/_____, the disclosure(s) of which is (are) incorporated by reference.

☐ Please amend this application by adding the following before the first sentence: --This application is a ☐ continuation ☐ division of and claims the benefit of U.S. Application No. _____, filed _____, the disclosure of which is incorporated by reference.--

Enclosed are:

- ☒ 6 sheet(s) of ☐ formal ☒ informal drawing(s); 10 pages of specification including description, claims and abstract; ☒ title page.
☐ An assignment of the invention to _____
☐ A ☐ signed ☐ unsigned Declaration & Power of Attorney.
☒ A ☐ signed ☒ unsigned Declaration.
☐ A Power of Attorney by Assignee with Certificate under 37 CFR 3.73(b).
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ is enclosed ☐ was filed in the prior application and small entity status is still proper and desired.
☐ A certified copy of a _____ application.
☐ Information Disclosure Statement under 37 CFR 1.97.
☐ A petition to extend time to respond in the parent application.
☐ Notification of change of ☐ power of attorney ☐ correspondence address filed in prior application.
☐ Please cancel claim(s) _____
☐

Pursuant to 37 CFR §1.53(f), Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

Respectfully submitted,
TOWNSEND and TOWNSEND and CREW LLP

Charles E. Krueger
Charles E. Krueger
Reg. No.: 30,077
Attorneys for Applicant

Telephone: (415) 576-0200 Facsimile: (415) 576-0300

APPNOFEE.TRN 2/27/98

PATENT APPLICATION

MULTIPLE DATABASE, USER-CHOICE-COMPILED PROGRAM AND
EVENT GUIDE

Inventor:

Jim Leftwich, a U.S. citizen,
residing at 131 Hawthorne Ave.,
Suite F, Palo Alto, CA
94301-1036

Assignee:

Starsight Telecast,
Incorporated, a corporation of
the State of California, located
at 39650 Liberty St., 3rd Fl.,
Fremont, CA 94538

Entity: Large

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

MULTIPLE DATABASE, USER-CHOICE-COMPILED PROGRAM AND
EVENT GUIDE

5

BACKGROUND OF THE INVENTION

The present invention generally relates to television schedule information, and more particularly to a system and method for providing previews of scheduled programming to assist a viewer in making scheduling decisions.

10

As the number of television stations in a metropolitan area or on a cable network has increased, the number of programs of potential interest that are presented to a viewer has risen dramatically. With the use of dish antennas capable of receiving direct satellite signals, the multitude of programs available to the viewer has further increased.

15

Additionally, television faces a digital future that will see the merger of television and PC technology. The television set of the future will include a micro-computer, a modem of interconnectivity with other computers over networks, intranets, and the internet, and be connectable to computer peripherals such as printers. Such capabilities as near "video on demand" (NVOD), "video on demand," "access to the world wide web," "audio on demand," etc., will present the viewer with a plethora of information and bandwidth.

20

25

As has become increasingly evident, information overload can actually reduce the usefulness of the information delivered. Accordingly, a great challenge exists to provide an interface that manages and provides an intelligent, user-friendly interface to the information available.

30

Consequently, television schedule systems that are provided directly on the viewer's television screen have been developed to assist the viewer in sorting through these various programs and determining which programs to watch or record. One such television schedule system is disclosed in commonly assigned U.S. Patent No. 5,353,121 (Young et al.), the complete disclosure of which is hereby incorporated by reference. In one embodiment of Young, the television

35

schedule includes a series of menu screens having an array of cells corresponding to different television programs. The viewer may scroll through the cells to view which television programs are being presented on various channels at various times. In addition, the viewer may select certain cells to obtain more information on the associated program or to pull up other submenus with additional options.

The recent development of television schedule systems, such as the above described patent to Young, have created many new challenges. Today's guides have only a single source listing, with all available programming presented in a time-based schedule grid. There is also no current ability to allow third parties with different finding/filtering criteria to create useful listings and/or access for viewers.

Also, there is an increasingly important dual need among users to both screen out unwanted programming and find desired programming. As the number of programs/events accessible increases, these issues will become even more important and current strategies such as simple program ratings will not be effective or efficient enough to handle these interrelated user needs.

SUMMARY OF THE INVENTION

The present invention is related to the complementary aspects of Finding Programming and Blocking Programming. The model assumes that certain channels are available to a viewer and other channels are accessible.

According to one aspect of the invention, Editable Filters (EFs) are created based on a standardized program database. These EFs include a basic menu supplied upon startup and optional menus which could be included in the SS database or be downloadable from the WWW. Third party editors will be able to build an EF by utilizing entries which are used to interact with the DB.

According to another concept of the invention, the editable filters are ordered in a hierarchy. Channel select and parental control filters will supersede all other filters.

Thus, even if a selected EF allows a program, parental control will override the selection.

According to another aspect of the invention, the viewer will register to use a list.

Other features and advantages will be apparent in view of the detailed description filed herewith and the following claims.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic drawing of a television system;

Fig. 1B is schematic diagram depicting of an implementation of a filter;

Fig. 2A is a schematic diagram depicting source provider guide listings and third-party edited listings;

Fig. 2B is a schematic diagram depicting alternative formats of an EPG display;

Fig. 3 is a schematic diagram of a system of selecting filters; and

Fig. 4 is a schematic diagram depicting program/event sources for a PCTV utilizing a filter system.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Electronic programming guides (EPGs) are well-known in the art. One type of programming guide is provided by the assignee of the present invention, StarSight and described in U.S. Patent No. 5,169,274, is displayed as a grid of program entries with the vertical axis of the grid being the channels or program sources of program entries and the horizontal axis being starting times of programming entries. Other EPGs are provides by satellite services.

An EPG is generated from a data base based on programming provided by a local service provider. Existing EPG services include some program blocking features and also provide for selecting favorite channels and ordering the channels in a preferred manner.

In a preferred embodiment, the electronic program guide of the invention may be implemented either on a personal computer, a PCTV, a television connected to a set-top box, or a television including a custom board. However, the invention is not limited to any particular hardware configuration and will have increased utility as new combinations of computers and television systems are developed. In the following, any of the above will sometimes be referred to as a "TV system." Block diagrams of representative TV systems are depicted in Fig. 1A. Details of implementation are not depicted because the invention is not limited to any particular TV system.

As is well-known, the picture to be displayed may be transmitted as an analog signal, for example according to the NTSC standard utilized in the United States, or as a digital signal modulated onto an analog carrier. The signals may be received over a cable or via an antenna or satellite dish. Typically, television sets are designed to receive analog signals and computer display devices are designed to display pictures encoded in a digital format. However, the decoder system converts the digital data to an analog signal for display on a television set and TV modems can format analog TV signals for display on a monitor.

In Fig. 1A, analog or digital TV signals, received via cable 30, antenna 32, or satellite dish 34, are provided to a television system. If the signal is from a digital broadcast service, then a decoder 36 converts the signal to baseband video and audio or channel 3/4 RF. If the signal is an analog signal it is passed through as a live video output. The television system 38, depending on its configuration, receives selected ones of the outputs and displays the received program.

A PCTV includes a TV card 42, connected to either live video, baseband video, or channel 3/4 output, digitizes the video image and displays the video image in a resizable window on the computer monitor. The PCTV is also coupled to land telephone lines by a modem 44.

If the received signal is an analog TV signal, the TV card of the PCTV digitizes the analog signal and extracts

included information from the vertical blanking intervals. On the other hand, if the signal is a digital signal, separate audio, video, VBI (vertical blanking information such as closed caption, teletext, and program related information), program guide, and conditional access information are provided as separate bitstreams. The video and audio bitstreams for programs are converted to a format for display and the program guide information is processed to form a program guide database. The processor, executing software stored in memory, generates interactive electronic program guide images and images of received programs. The guide can be used to interact with and control programs displayed in the window.

In a preferred embodiment of the invention, filters are utilized to select and screen programming displayed by an EPG. In this context, a filter is a mechanism for selecting programs based on a selected criteria.

However, for a filter to be useful in the present context, it is in the form of a list of programs or event entries where the entries must be in a format usable by the EPG generating system.

An example of a usable format for filters is depicted in Fig. 1. In Fig. 1, a filter 100 is a list of program or event entries 102. The filter entitled "The Sci-Fi Fan's Guide" is a list of programs selected according to a criteria that selects programs of interest to sci-fi fans.

The format for a program or event entry is depicted in the table 104. The information in the table is used by the EPG generating system to generate an EPG display as described below. The table could be formatted in HTML so that the filter entries 102 could be displayed in a user friendly format 106.

In a preferred embodiment both service provider guide listings and third-party edited guide listings are utilized to select programming displayed in the EPG.

Examples of Subscription-based, Filtering
Program/Event Services; live or archived

e.g.: **The Family-Friendly subscription service**

(delivering a described/understood mix of general
audience programs/events, some of which may be
custom-selected according to a subscriber's viewing
history/preferences.)

e.g.: **The Science Source subscription service** (delivering
a described/understood mix of science-related
programs/events, some of which may be custom-
selected according to a subscriber's viewing
history/preferences.)

e.g.: **Bob Smith's Cult Film Access Listing** (allowing a
Starsight user to incorporate a third party's list
into the Guide) (providing access links to a
described/understood mix of cult film-related
programs/events.)

Fig. 2 illustrates the use of third-party edited
guide listings. The entire universe of available programming
is indicated by the list of all programs/events provided by
the local service provider 200.

As depicted in Fig. 2A, some of the programs listed
in the Sci-Fi Fan's Guide are either not available (light gray
bar) or available/not accessible (dark gray bar). A program
available but not accessible is a premium program not
subscribed to by the user.

The EPG generator utilizes to filter to display
those programs available/accessible in the EPG.
Alternatively, programs available/not accessible might also be
displayed as an incentive to the viewer to subscribe to the
premium program which meets a selected filter's criteria.
This could be a powerful revenue generator for the service
provider.

Fig. 2B depicts alternate ways of formatting an EPG.
For programs events which are available only at prescribed
times the show listings are displayed in a grid 250. Those
programs/events which are randomly accessible, e.g., archives,

libraries, file libraries, etc., appear in a list section of the EPG.

In a preferred embodiment, the actual filtering of the programs to be displayed in an EPG is performed utilizing the Program\Event ID in the entry table 104 (Fig. 1).

In the present embodiment, the EPG generator provides an interactive filter selective mechanism. In Fig. 3, a user configuration screen 300 lists both service provider filters 302 and third-party filters 304. Each time a new filter is added it is registered with the EPG generator and added to the list in the user configuration screen 300. Only those programs filtered by the selected filters will be displayed on the EPG screen 306.

In a preferred embodiment, the filters may be prioritized. For example, in a family with small children the most important criteria might be that a program be included in the Family Friendly Viewing filter. This filter is assigned the highest priority. Then, for example, a program included in the Sci-Fi Fan's filter but not included in the Family Friendly Viewing filter would not be displayed in the guide. Further, the filters could be prioritized by time. Family Friendly Viewing would have the highest priority during the hours when children are viewing and then automatically drop to a later priority during late hours.

In the example described above with reference to Fig. 2A, the filters were applied to programming provided by the local service provider over a cable. However, as depicted in Fig. 4, the filters may also be applied to programs and events delivered by non-cable sources such as wireless, Internet, and satellite. These non-cable sources 400 supply program guide data to the EPG generator 402 which is stored in a data base. The filters can then be applied to program data stored in the data base to generate a filtered EPG for cable and non-cable programming.

Another use of non-cable supplied information is to use the internet to provide offers for premium cable-supplied subscription services. The subscription is initiated through

the internet and premium subscription service is made available to the user.

2025-01-01 10:00:00

WHAT IS CLAIMED IS:

1 1. A method for managing programming comprising:
2 storing a database of available programming;
3 utilizing a first filter, which selects a first
4 subset of programs according to some criteria, to generate an
5 electronic program guide displaying programming includes in
6 said first subset.

1 2. The method of claim 1 further comprising the
2 step of:
3 utilizing said first filter and a second filter,
4 with the second filter selecting a second subset of programs
5 according to another criteria, to generate an electronic
6 programming including all programs included in either said
7 first or second subsets.

1 3. The method of claim 1 further comprising the
2 step of:
3 utilizing said first filter and a second filter,
4 with the second filter selecting a second subset of programs
5 according to another criteria and having a higher priority
6 than said first filter, to generate an electronic programming
7 including only programs included in both said first and second
8 subsets.

1 4. The method of claim 1 further comprising the
2 step of:
3 creating a filter utilizing program/event entries in
4 the programming database.

An electronic programming guide generator utilizes filters to select only programming filtered to display in an electronic programming guide display.

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MULTIPLE DATABASE, USER-CHOICE-COMPILED PROGRAM AND EVENT GUIDE** the specification of which X is attached hereto or was filed on as Application No. and was amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <u> </u> No <u> </u>
			Yes <u> </u> No <u> </u>

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/043,248	April 16, 1997

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
		<u> </u> Patented <u> </u> Pending <u> </u> Abandoned
		<u> </u> Patented <u> </u> Pending <u> </u> Abandoned

Full Name of Inventor 1	Last Name LEFTWICH	First Name JIM	Middle Name or Initial	
Residence & Citizenship	City Palo Alto	State/Foreign Country CA	Country of Citizenship U.S.	
Post Office Address	Post Office Address 131 Hawthorne Ave.	City Palo Alto	State/Country CA	Zip Code 94301-1036
Full Name of Inventor 2	Last Name	First Name	Middle Name or Initial	
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship	
Post Office Address	Post Office Address	City	State/Country	Zip Code
Full Name of Inventor 3	Last Name	First Name	Middle Name or Initial	
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship	
Post Office Address	Post Office Address	City	State/Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 JIM LEFTWICH	Signature of Inventor 2	Signature of Inventor 3
Date	Date	Date

i:\ock\share\14774\82\1-dec

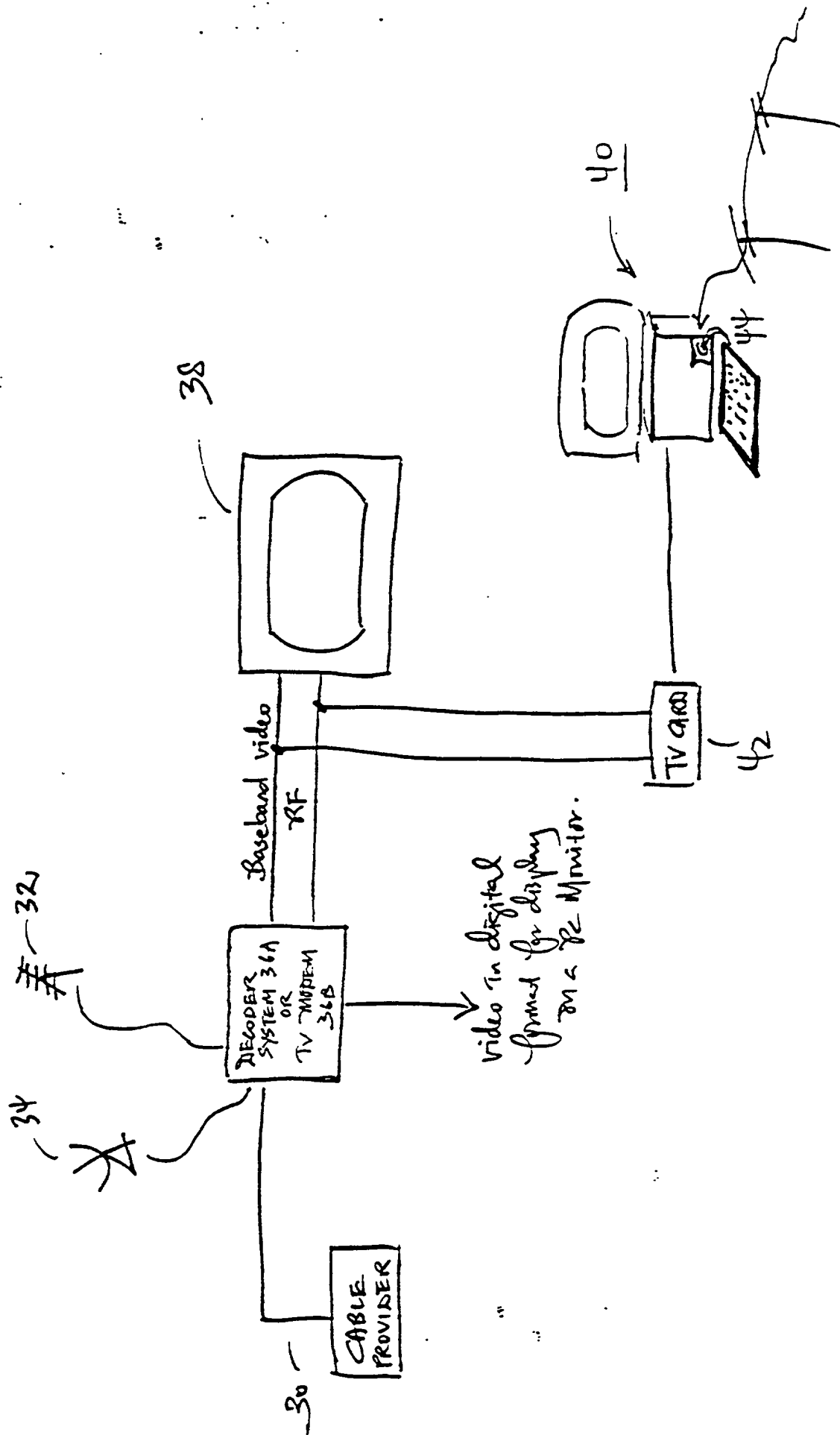


FIG. 1A

All
Programs/Events
Available through
Local Service
Provider

Automatic Filtering of Third-party Edited Listings by what's Available/Accessible

Service Provider Guide Listings

All Program/Events are available, though
access may be conditional (pay, etc.)

Third-party Edited Guide Listings

Black indicates Program/Event I.D.
matches with those available from
Local Service Provider

Local &
Regional
Programs/
Events

Basic Service
Programs/
Events

Premium
Channel
Package 1

Premium
Channel
Package 2

The SciFi Fan's
Guide

Family
Friendly
Viewing

Roger Ebert's
Movie
Classics

The
Nature
Explorer

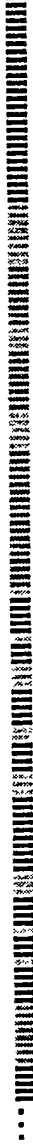
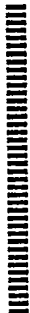


Fig. 2A

— Available/Accessible
— Available/Not Accessible
— Not Available/Not Displayed



05-11-19-01-00-00-00

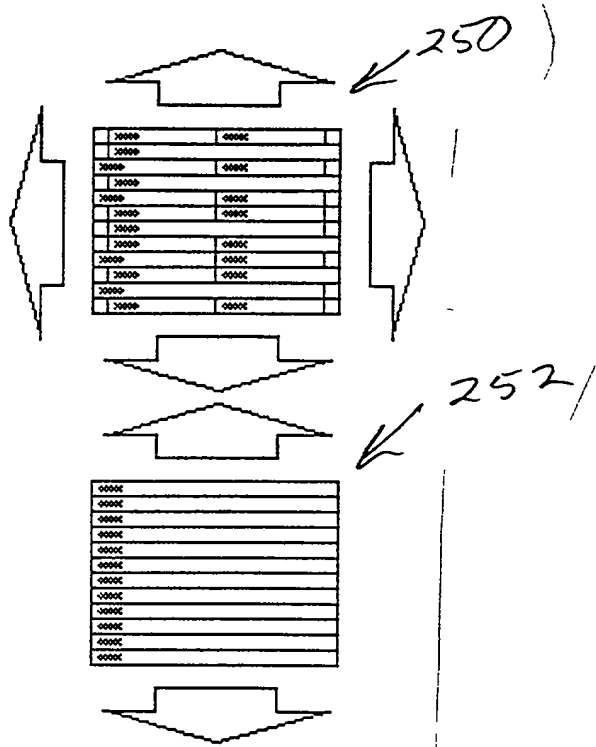
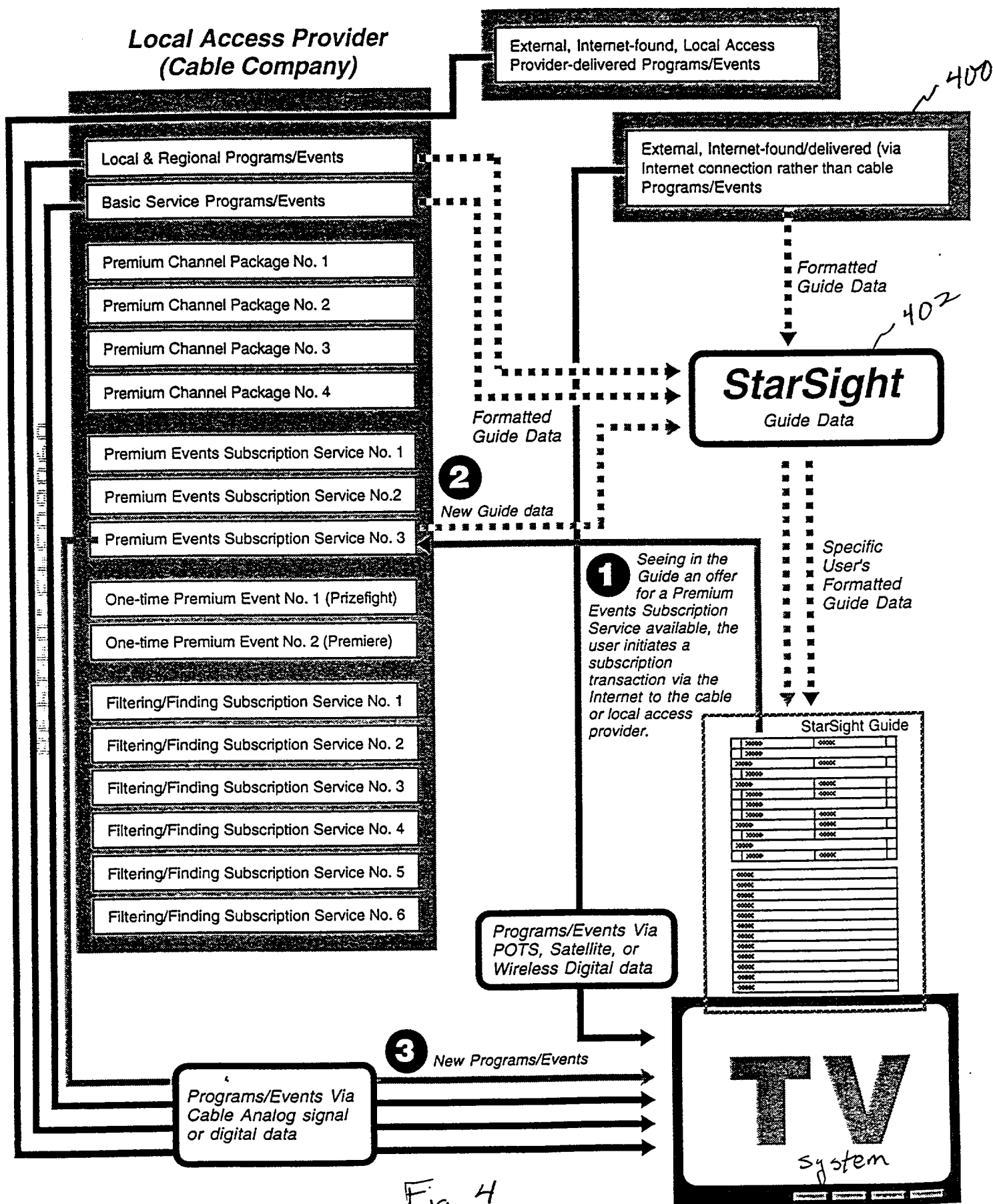
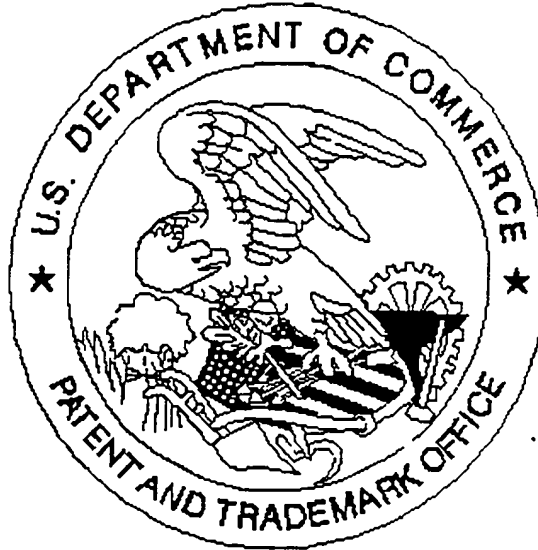


Fig. 2B



United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

1. Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 because:
 - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11"
Pages _____ do not meet these requirements.
 - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
 - ☐ Papers are not typewritten or mechanically printed in permanent ink on one side.
 - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
 - ☐ Papers contain hand lettering.
2. Drawings are not in compliance and were not scanned because:
 - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
 - ☐ All drawings sheets are not the same size. Pages must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
3. Page(s) _____ are not of sufficient clarity, contrast and quality for electronic reproduction.
4. Page(s) _____ are missing.
5. OTHER: Too Dark Figures 1B, Figure 3